

**REPORT OF PRINCIPAL AMOUNT OF LOANS ORIGINATED AND
AGGREGATE AMOUNT OF LOANS SERVICED FOR THE 12 MONTH PERIOD ENDED
DECEMBER 31, 2013**

RETURN TO:

DiAun M. Burns, Special Administrator
Department of Business Oversight
320 West 4th Street, Suite 750
Los Angeles, California 90013

NOTE: This report must be submitted no later than March 1, 2014 to enable the Commissioner to prepare assessments in accordance with Section 50307 and 50401 of the California Residential Mortgage Lending Act.

Department of Business Oversight File Number: _____

Name of Licensee: _____

Address of Licensee: _____

Website Address, if any: _____

Person to contact for the purpose of arranging regulatory examinations:

Name: _____

Address: _____

Phone: _____

I certify/declare under penalty of perjury that the foregoing information and the attached report are true and correct.

Executed at: _____

(City)

(State)

this _____ day of _____, 20_____

(Signature of Declarant)

(Please Print Name)

(Title)

NOTE: *This verification may be made either before a Notary Public or as a declaration under penalty of perjury. This form MUST be executed before a notary public if it is executed OUTSIDE the State of California.*

NAME _____

FILE NUMBER _____

Please list the number and principal amount of **California** loans processed and underwritten. [Section 50129(a)(2)(FC)] Please list the number and principal amount of **California** loans originated each month. [Section 50401(a)(FC)] Please list the number and the aggregate principal amount of residential mortgage loans closed in which the licensee provided brokerage services pursuant to the California Residential Mortgage Lending Act. Please list the total aggregate amount of all **California** loans serviced calculated as of the last day of each month for the calendar year 2013. [Section 50401(a)(FC)] **Please review the instructions before completing this report.**

	# of CA Loans Processed and Underwritten	Principal Amount of CA Loans Processed and Underwritten	# of CA Loans Originated	Principal Amount of CA Loans Originated	# of CA Loans Brokered	Principal Amount of CA Loans Brokered	Aggregate Amount of CA Loans Serviced
January		\$		\$		\$	\$
February							
March							
April							
May							
June							
July							
August							
September							
October							
November							
December							
Total		\$		\$		\$	\$

California Foreclosure Reduction Act

Effective January 1, 2013, the California Foreclosure Reduction Act sets forth new requirements for all foreclosures and includes specific requirements for companies that conduct more than 175 foreclosures during the previous calendar year. The following questions are in connection with the California Foreclosure Reduction Act and these new requirements.

- A. During 2013, did you foreclose on 175 or fewer residential real properties containing no more than four dwelling units that are located in California?

YES NO

- B. Provide your total number of foreclosures completed on residential real properties located in California, containing no more than four dwelling units during 2013.

Number of foreclosures _____

NONTRADITIONAL, ADJUSTABLE RATE AND MORTGAGE LOAN PRODUCTS

1. During calendar year 2013 have you made or arranged nontraditional mortgage products or adjustable rate mortgage products as defined by the Guidance?

- | | | |
|--|-----------|----------|
| a. Made nontraditional mortgage products? | Yes _____ | No _____ |
| b. Arranged nontraditional mortgage products? | Yes _____ | No _____ |
| c. Made adjustable rate mortgage products? | Yes _____ | No _____ |
| d. Arranged adjustable rate mortgage products? | Yes _____ | No _____ |

If your answer is no to all of the above, STOP.

If your answer to any of the above is yes, please complete the following:

2. Please explain on a separate page how you have implemented best practices and explain whether and how you have put into effect the following internal controls or procedures during the reporting period: adopted processes, policies and procedures to ensure compliance with the Guidance; designated a compliance officer (including contact information of that officer) to ensure compliance with the Guidance; implemented a consumer complaint process to resolve consumer complaints involving loans covered by the Guidance and educated employees and agents to help them understand how to apply the best practices.

Name, address, phone number and e-mail address of the designated compliance officer to ensure compliance with the Guidance:

Name: _____

Address: _____

Phone: _____

E-mail: _____

3. Consumer complaint information involving non-traditional and adjustable rate mortgage products covered by the Guidance during the reporting period:

- | | |
|---|-------|
| # of consumer complaints received | _____ |
| # of resolved complaints | _____ |
| # of unresolved complaints | _____ |
| # of workout arrangements ¹ used for resolved complaints | _____ |

¹ “Workout arrangement” shall mean a modified or converted loan product with predictable payment requirements to help the financially-stressed borrower.