

1 STATE OF CALIFORNIA  
2 BUSINESS, TRANSPORTATION AND HOUSING AGENCY  
3 DEPARTMENT OF CORPORATIONS

4 TO: Mark Campbell  
5 Jack Turner  
6 Ball Print Technologies, Inc.  
7 2010 Hancock Street  
8 San Diego, CA 92110

Steven Fiorentino  
6455 La Jolla Boulevard, # 138  
La Jolla, CA 92037

Shannon K. Rodriguez  
3115 Shelby Drive  
National City, CA 91950

Tony Magee  
12685 Camino Mira Del Mar, # 160  
San Diego, CA 92130

10 Julia Newbern  
11 3123 Roadrunner Road  
12 San Marcos, CA 92078

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14  
15 **DESIST AND REFRAIN ORDER**

16 **(For violations of sections 25110 and 25401 of the Corporations Code)**

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18 The California Corporations Commissioner finds that:

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20 1. At all relevant times, Ball Print Technologies, Inc. is and was a California corporation  
21 incorporated on November 18, 2003 with a principal place of business at 2010 Hancock Street, San  
22 Diego, CA 92110.

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24 2. At all relevant times, Mark Campbell (“Campbell”) is and was President, Chief Executive  
25 Officer and co-founder of Ball Print Technologies, Inc.

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27 3. At all relevant times, Jack Turner (“Turner”) was Chief Operations Officer and co-founder of  
28 Ball Print Technologies, Inc.

1 4. At all relevant times, Shannon K. Rodriguez (“Rodriguez”) was Vice President of Sales and  
2 Marketing and a sales person for Ball Print Technologies, Inc.

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4 5. At all relevant times, Julia Newbern (“Newbern”) was also Vice President of Sales and  
5 Marketing and a sales person for Ball Print Technologies, Inc.

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7 6. At all relevant times, Steven Fiorentino (“Fiorentino”) was a sales person for Ball Print  
8 Technologies, Inc.

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10 7. At all relevant times, Tony Magee (“Magee”) was a sales person for Ball Print Technologies,  
11 Inc.

12  
13 8. Beginning in or about 2004, Campbell, Turner, Rodriguez, Newbern, Fiorentino, Magee and  
14 Ball Print Technologies, Inc. offered and sold securities, in the form of commercial notes, in the State  
15 of California.

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17 9. Through general solicitations in the form of an investor recruitment network and telephone  
18 cold-calls, Campbell, Turner, Rodriguez, Newbern, Fiorentino, Magee and Ball Print Technologies,  
19 Inc. offered or sold commercial notes to California residents.

20  
21 10. The purported purpose of the commercial note offering was to raise funds for the operation of  
22 Ball Print Technologies, Inc., a company which prints illustrations on various surfaces including  
23 sports memorabilia.

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25 11. A Ball Print Technologies, Inc. Private Placement Memorandum, distributed to investors and  
26 potential investors, states “Our noteholder’s capital is asset-backed – secured by insurance issued by  
27 US-based insurance companies, which have a perfect payment record . . . Payments are guaranteed by  
28 the insurance policies’ cash maturities to pay interest and repay principal . . . Because the [insurance]

1 Trust will invest in ABS life insurance policies, *no such risk will apply* and will not be applicable in  
2 any way to [Ball Print Technologies, Inc.] Notes.” Ball Print Technologies, Inc. allegedly procured  
3 insurance policies to secure the notes from Asset-Max, Inc., a Nevada corporation, located at 12  
4 Primrose, Irvine, California 92604. According to the Private Placement Memorandum, the trustee for  
5 Asset-Max, Inc. is Edison Miller, California State Bar #74787.

6  
7 12. These securities were offered and sold in this state in issuer transactions. The Department of  
8 Corporations has not issued a permit or other form of qualification authorizing any person to offer or  
9 sell these securities in this state.

10  
11 13. In connection with the offers and sales of securities, Campbell, Turner, Rodriguez, Newbern,  
12 Fiorentino, Magee and Ball Print Technologies, Inc. misrepresented to investors that their investment  
13 had little or no risk because the investments were secured by insurance policies and that investor  
14 principal and interest payments were fully guaranteed. In fact, some investors have not received any  
15 interest payments. Further, Campbell, Turner, Rodriguez, Newbern, Fiorentino, Magee and other  
16 representatives of Ball Print Technologies, Inc. have ceased contact with investors.

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18 14. On June 12, 2007, the Department of Corporations issued a Subpoena Duces Tecum on Ball  
19 Print Technologies, Inc. On July 31, 2007, the Subpoena Duces Tecum was personally served on  
20 Newbern, as an agent of Ball Print Technologies, Inc., requiring her to produce documents to the  
21 Department of Corporations by August 31, 2007. Newbern failed and refused to produce any  
22 documents. Further, Newbern has failed to contact the Department of Corporations to seek a further  
23 extension to the date of production.

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25 Based upon the foregoing findings, the California Corporations Commissioner is of the  
26 opinion that the commercial notes of Ball Print Technologies, Inc. are securities subject to  
27 qualification under the California Corporate Securities Law of 1968 (California Corporations Code  
28 section 25000 et seq.) and are being or have been offered or sold without being qualified in violation

1 of Corporations Code section 25110. Pursuant to section 25532 of the Corporate Securities Law of  
2 1968, Mark Campbell, Jack Turner, Shannon K. Rodriguez, Julia Newbern, Steven Fiorentino, Tony  
3 Magee and Ball Print Technologies, Inc. are hereby ordered to desist and refrain from the further  
4 offer or sale in the State of California of securities, including but not limited to commercial notes,  
5 unless and until qualification has been made under the law or unless exempt.

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7 Further, the California Corporations Commissioner is of the opinion that the securities of Ball  
8 Print Technologies, Inc. were offered or sold in this state by means of written or oral communications  
9 which included an untrue statement of a material fact or omitted to state a material fact necessary in  
10 order to make the statements made, in the light of the circumstances under which they were made, not  
11 misleading, in violation of section 25401 of the Corporate Securities Law of 1968. Pursuant to  
12 section 25532 of the Corporate Securities Law of 1968, Mark Campbell, Jack Turner, Shannon K.  
13 Rodriguez, Julia Newbern, Steven Fiorentino, Tony Magee and Ball Print Technologies, Inc. are  
14 hereby ordered to desist and refrain from offering or selling or buying or offering to buy any security  
15 in the State of California, including but not limited to commercial notes, by means of any written or  
16 oral communication which includes an untrue statement of a material fact or omits to state a material  
17 fact necessary in order to make the statements made, in the light of the circumstances under which  
18 they were made, not misleading.

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20 This Order is necessary, in the public interest, for the protection of investors and consistent  
21 with the purposes, policies and provisions of the Corporate Securities Law of 1968.

22 Dated: October 23, 2007

23 PRESTON DuFAUCHARD  
24 California Corporations Commissioner

25  
26 By \_\_\_\_\_  
27 ALAN S. WEINGER  
28 Lead Corporations Counsel