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**STATE OF CALIFORNIA**  
**BUSINESS, TRANSPORTATION AND HOUSING AGENCY**  
**DEPARTMENT OF CORPORATIONS**

**TO: David M. Stone**  
**3320 Marconi Avenue**  
**Sacramento, CA 95821**

**DESIST AND REFRAIN ORDER**

**(For violations of sections 25210 of the Corporations Code)**

The California Corporations Commissioner finds that:

1. David M. Stone possessing no certificate as a broker-dealer or agent offered and sold to a resident of California and business entity located in California securities in the form of viaticals issued by The Alpha Capital Group, LLC.
2. David M. Stone offered and sold these securities in the form of viaticals issued by The Alpha Capital Group, LLC to a business entity on August 25, 1998, and California resident on January 8, 1999.

Based upon the foregoing findings, the California Corporations Commissioner is of the opinion that David M. Stone has effected transactions in securities as a broker-dealer without first having applied for and secured from the Commissioner a certificate, then in effect, authorizing him to act in that capacity, in violation of section 25210 of the Corporate Securities Law of 1968. Pursuant to Section 25532 of the Corporate Securities Law of 1968, David M. Stone is hereby ordered to **DESIST and REFRAIN** from effecting any transaction in, or inducing or attempting to induce the purchase or sale of, any security in this state, unless and until he has applied for and secured from the Commissioner a certificate, then in effect, authorizing him to act in that capacity.

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