

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT**

Jun-13

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|--|---|---|
| Winnol LLC Paramjit Bedi Jasleen Singh Glendale, California | Stipulation to Desist and Refrain Order June 3, 2013 Desist and Refrain Order June 4, 2013 | Unlawful Offer and Sale of Securities (Corporations Code Section 25110) |
| Turan Petroleum, Inc. NRG Resources, Inc. Hiep Trinh aka Alex Trinh Anatoly Vanetik aka Tony Vanetik Mitch Ngo Fullerton, California Santa Ana, California Tustin, California Costa Mesa, California Irvine, California | Final Judgment of Permanent Injunction and Other Ancillary Relief Pursuant to Stipulation June 4, 2013 | Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|--|--|---|
| <p>Navigator Escrow Company, Inc. Albany, California</p> | <p>Notice and Summary of Findings Demand for and Order Taking Possession of the Trust Funds and Escrow Records of Navigator Escrow Company, Inc. Order Appointing Camtu Tran Conservator June 4, 2013</p> | <p>Unlawful Escrow Law Activity (Financial Code Sections 17621 and 17630)</p> |
| <p>Oasis Lending Corporation Burbank, California</p> | <p>Order (1) Setting Aside Order Denying Petition for Reinstatement, and (2) Conditionally Granting Petition for Reinstatement June 4, 2013</p> | <p>Unlawful Finance Lender Law Activity (Government Code Section 11522)</p> |
| <p>James Stephen Gano Santa Ana, California</p> | <p>Statement of Issues June 5, 2013</p> | <p>Non-Issuance of Mortgage Loan Originator License (Financial Code Section 50141)</p> |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|--|
| Ty Earl Laffoon aka Earl Howard Laffoon, Jr. San Diego, California | Statement of Issues June 5, 2013 | Non-Issuance of Mortgage Loan Originator License (Financial Code Section 50141) |
| Aspen Express Escrow Services, Inc. San Diego, California | Order Revoking Escrow Agent's License June 7, 2013 | Unlawful Escrow Law Activity (Financial Code Section 17608) |
| Gateway Funding Diversified Mortgage Services, L.P. dba Arlington Capital Mortgage Horsham, Pennsylvania | Order to Discontinue Violations Order to Refund Excessive Per Diem Interest Charges June 11, 2013 | Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50504(b)) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|---|
| Vince Enterprises, Ltd. aka Vince Enterprise Prestige Group Marketing Charlestown, Nevis West Indies | Desist and Refrain Order; and Order Voiding Transactions June 13, 2013 | Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23050 and 23060) |
| Twin Bluffs Financial LLC aka Twin Bluffs Financial CWB Services LLC Kansas City, Missouri | Desist and Refrain Order; and Order Voiding Transactions June 13, 2013 | Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23050 and 23060) |
| Lonnie L. Dusenberry Manteca, California | Accusation Re Intent to Issue Order Barring Lonnie L. Dusenberry from any Position of Employment, Management or Control of any Broker Dealer or Investment Adviser June 18, 2013 | Unlawful Broker-Dealer Activity (Corporations Code Sections 25216 and 25218) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|---|--|--|
| Kevin Jerome Nelson aka Kevin J. Nelson aka Kevyn J. Nelson aka Baron Jevyn Jerome Nelson aka Kevin Tavie Tresvant Midas Touch Promotions The CVI Group Ltd. Los Angeles, California Downey, California | Desist and Refrain Order June 18, 2013 | Unlawful Offer and Sale of Securities and Investment Adviser Activity (Corporations Code Sections 25110, 25230 and 25401) |
| Oasis Lending Corporation Burbank, California | Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lender License June 18, 2013 | Unlawful Finance Lender Law Activity (Government Code Section 11522) |
| Jeremy Barnett aka Jeremiah Barnett International Innovative Investments, LLC Global Healthcare Long Beach, California | Desist and Refrain Order June 18, 2013 | Unlawful Offer and Sale of Securities (Corporations Code Section 25110) |

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
|--|--|--|
| Lawrence Doherty aka Larry Doherty Scarsdale Energy Partners, LLC Scarsdale Drilling Partners II LP Scarsdale Drilling Partners III Lp Scarsdale, New York Apopka, Florida | Desist and Refrain Order June 19, 2013 | Unlawful Offer and Sale of Securities (Corporations Code Section 25110) |
| Hayes and Associates Accountancy Corporation Coronado, California | Settlement Agreement Stipulation June 25, 2013 | Unlawful Investment Adviser Activity (Corporations Code Section 25230) |
| Deposit Guard, LLC www.depositguard.com James Alan Lane aka Alan Lane Austin, Texas | Desist and Refrain Order June 27, 2013 | Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403) |